

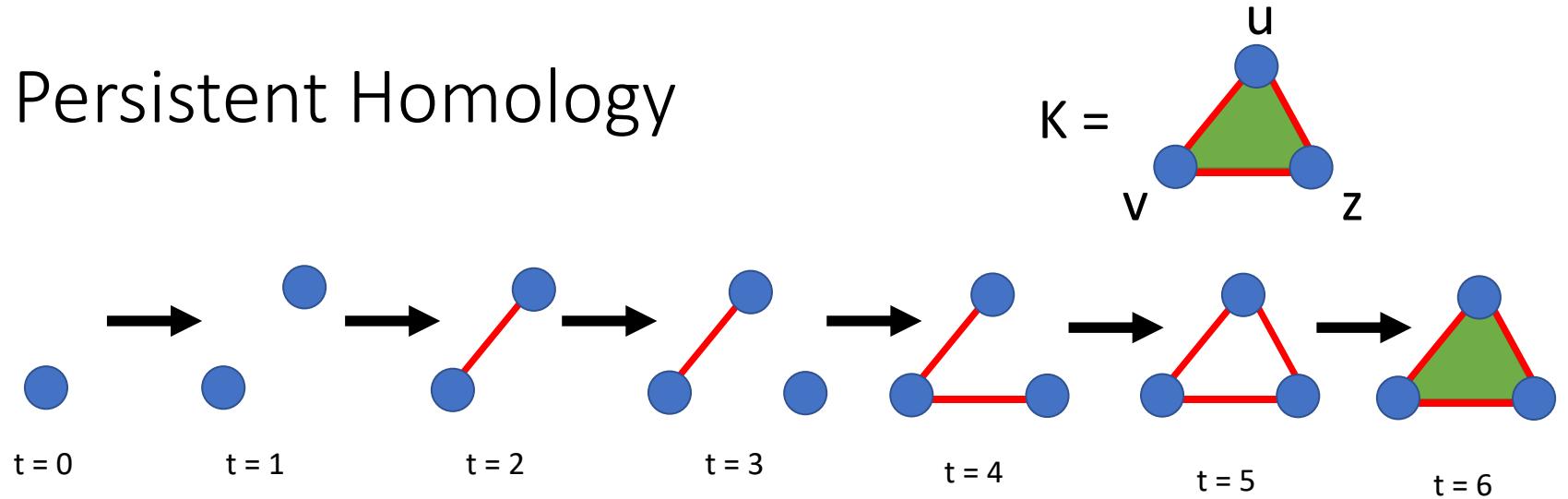
# Filtration Simplification for Persistent Homology via Edge Contraction

Tamal K. Dey and Ryan Slechta



THE OHIO STATE UNIVERSITY

# Persistent Homology



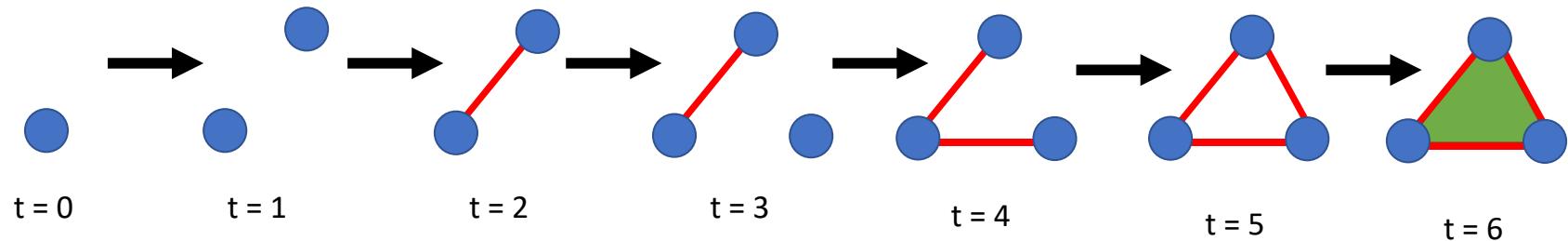
$\{u\}:\{u,v\}$

$\{z\}:\{v,z\}$

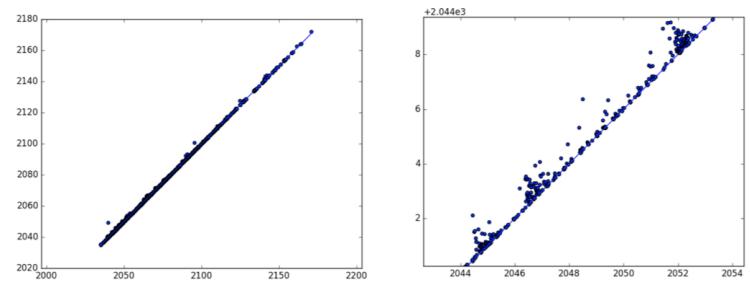
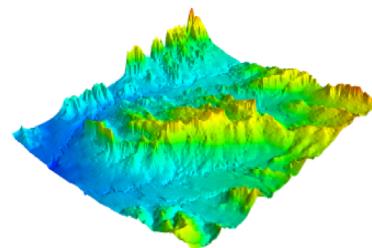
$\{u,z\}:\{u,v,z\}$

$$K_0 \subset K_1 \subset K_2 \subset K_3 \subset K_4 \subset K_5 \subset K_6$$

# Persistence Diagrams



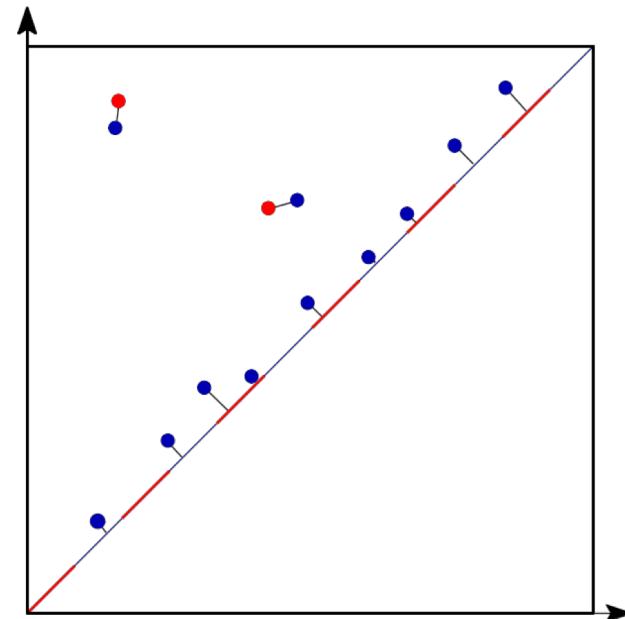
$\{u\}:\{u,v\} \Rightarrow$  point at (1,2)  
 $\{z\}:\{v,z\} \Rightarrow$  point at (3,4)  
 $\{u,z\}:\{u,v,z\} \Rightarrow$  point at (5,6)



# Bottleneck Distance

For persistence diagrams  $U$  and  $V$ ,  $B$  the set of bijections from  $U$  to  $V$ . Then define the distance:

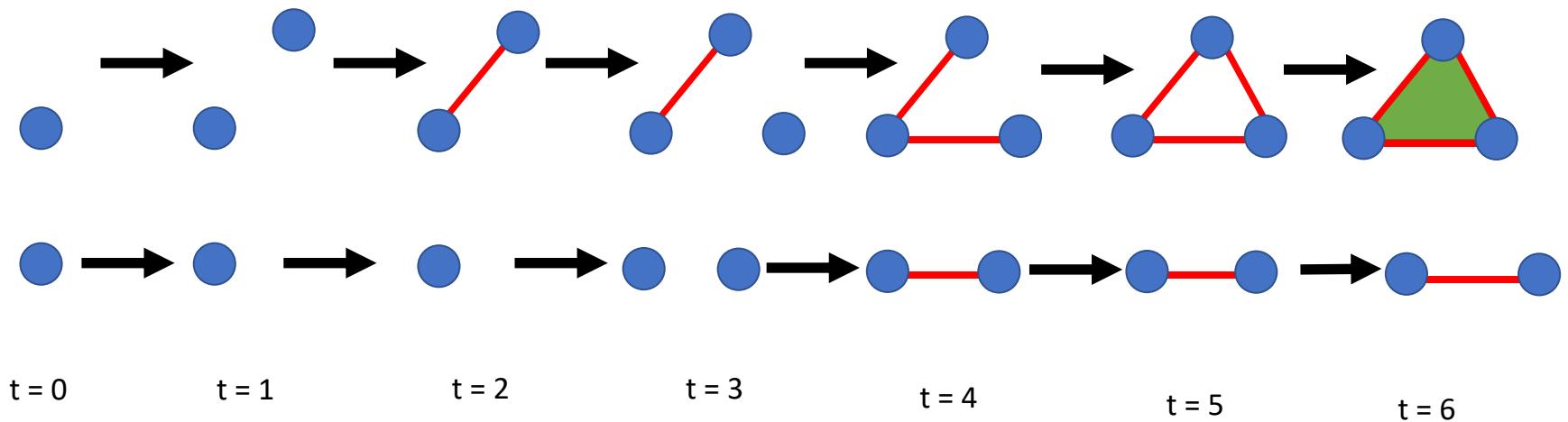
$$d_b(U, V) = \inf_{\gamma \in B} \sup_{x \in U} d_\infty(x, \gamma(x))$$



# Edge Contraction

Viewed as a simplicial map  $\xi_{\{u,v\}} : K \rightarrow \Delta$  where  $\Delta$  is the maximal simplicial complex on the vertex set of  $K$

Induces a new filtration  $\xi_{\{u,v\}}(K_0) \subset \dots \subset \xi_{\{u,v\}}(K_n)$  for the contracted complex



# Edge Contraction

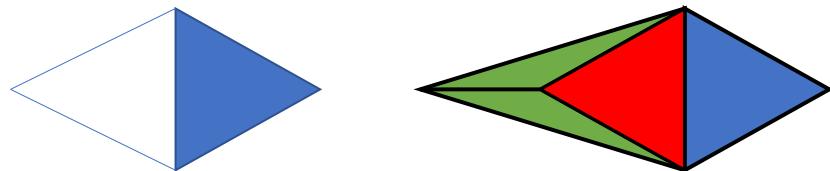
Define:

$$Cl(\sigma) = \{\tau \mid \tau < \sigma\}$$

$$St(\sigma) = \{\tau \mid \tau > \sigma\}$$

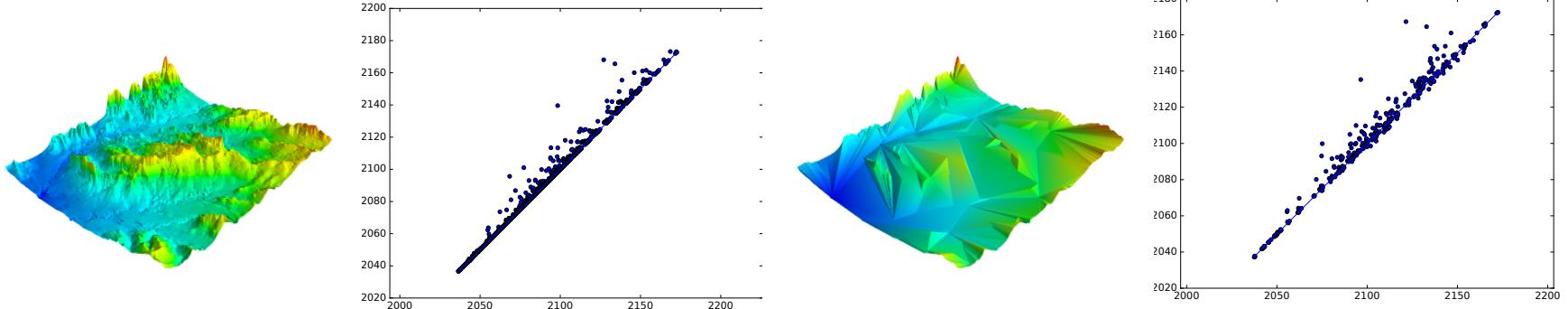
$$Lk(\sigma) = Cl(St(\sigma)) \setminus St(Cl(\sigma))$$

**Link Condition (Dey et. al.) :**  $Lk(u) \cap Lk(v) = Lk(\{u, v\})$



# Goal

Establish a contraction operator that bounds the perturbation between the  $p$ -dimensional persistence diagrams



# Modules

Define  $K'_a := \xi_{u,v}(K_a)$

$$K_{i_1} \subseteq K_{i_2} \subseteq \dots \subseteq K_{i_n}$$

$$H_k(K_{i_1}) \xrightarrow{f_{i_1, i_2}} H_k(K_{i_2}) \xrightarrow{f_{i_2, i_3}} \dots \xrightarrow{f_{i_{n-1}, i_n}} H_k(K_{i_n})$$

$$K'_{i_1} \subseteq K'_{i_2} \subseteq \dots \subseteq K'_{i_n}$$

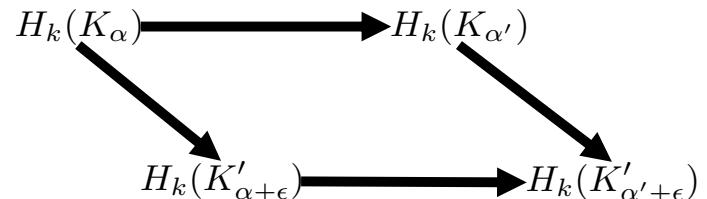
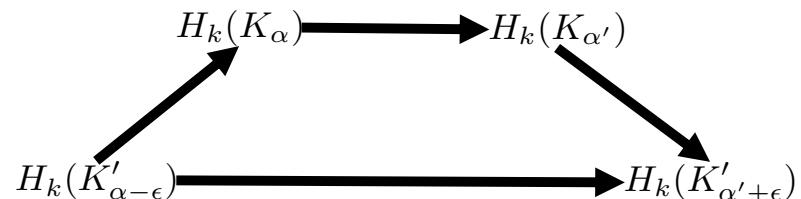
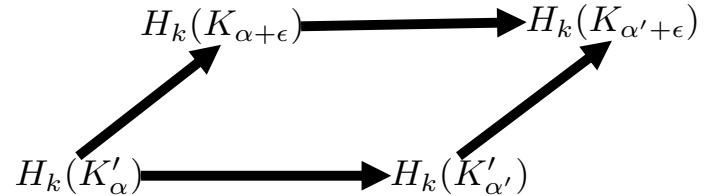
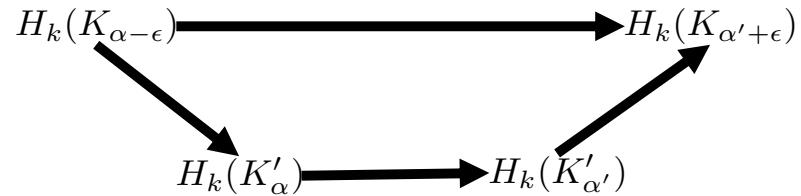
$$H_k(K'_{i_1}) \xrightarrow{f'_{i_1, i_2}} H_k(K'_{i_2}) \xrightarrow{f'_{i_2, i_3}} \dots \xrightarrow{f'_{i_{n-1}, i_n}} H_k(K'_{i_n})$$

# $\epsilon$ -Interleavings

$$M : H_k(K_{i_1}) \xrightarrow{f_{i_1, i_2}} H_k(K_{i_2}) \xrightarrow{f_{i_2, i_3}} \dots \xrightarrow{f_{i_{n-1}, i_n}} H_k(K_{i_n})$$

$$M' : H_k(K'_{i_1}) \xrightarrow{f'_{i_1, i_2}} H_k(K'_{i_2}) \xrightarrow{f'_{i_2, i_3}} \dots \xrightarrow{f'_{i_{n-1}, i_n}} H_k(K'_{i_n})$$

**Definition:** Modules M and M' are  $\epsilon$ -interleaved if there exist families of homomorphisms such that the following diagrams commute for all  $\alpha < \alpha'$ .



**Theorem** (Chazal et. al.): Let U be the persistence diagram corresponding to M, V corresponding to M'. If M and M' are  $\epsilon$ -interleaved, then  $d_b(U, V) \leq \epsilon$ .

# Defining Homomorphisms

Downward maps  $\xi_{a,a+\epsilon}^* : H_k(K_a) \rightarrow H_k(K'_{a+\epsilon})$ .

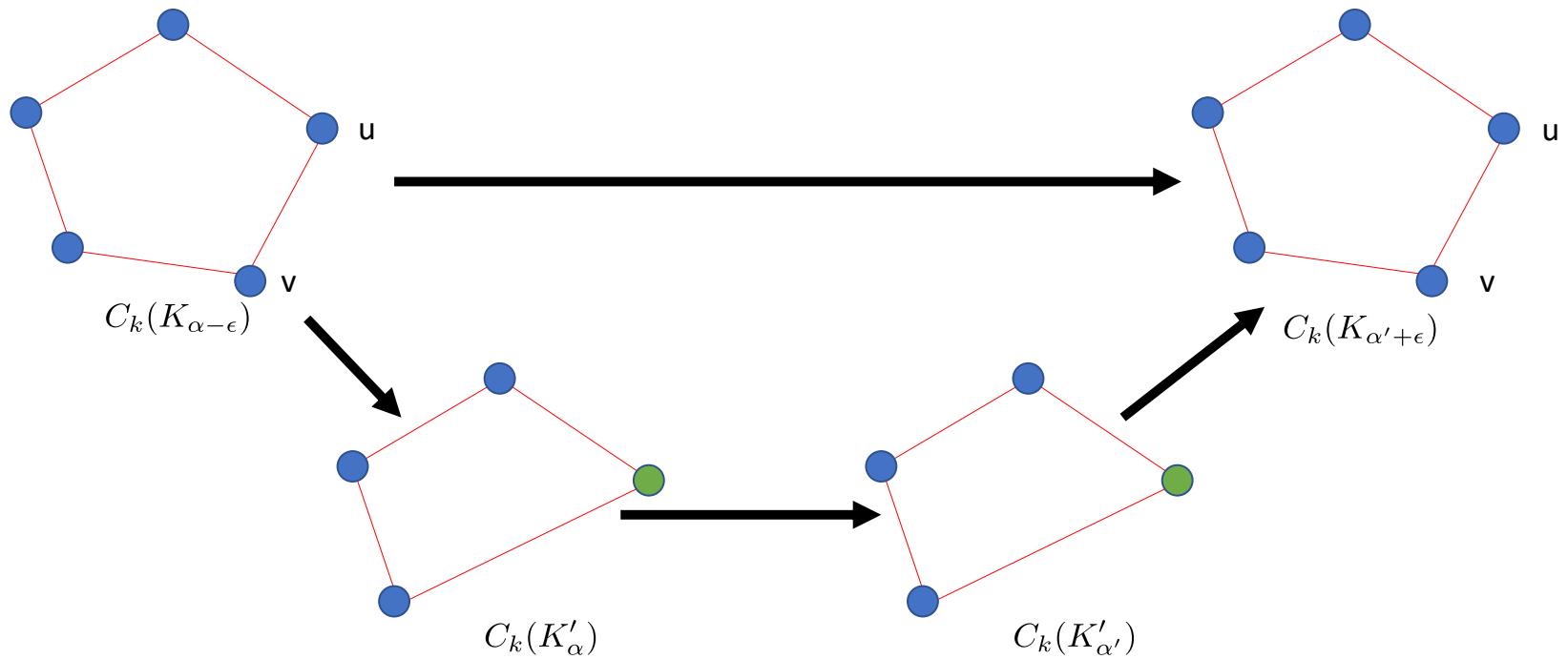
Edge contraction induces chain maps  $\xi_{\alpha,\#} : C_k(K_\alpha) \rightarrow C_k(K'_\alpha)$

**Theorem**  $\xi_{\alpha,\#}$  brings cycles to cycles and boundaries to boundaries.

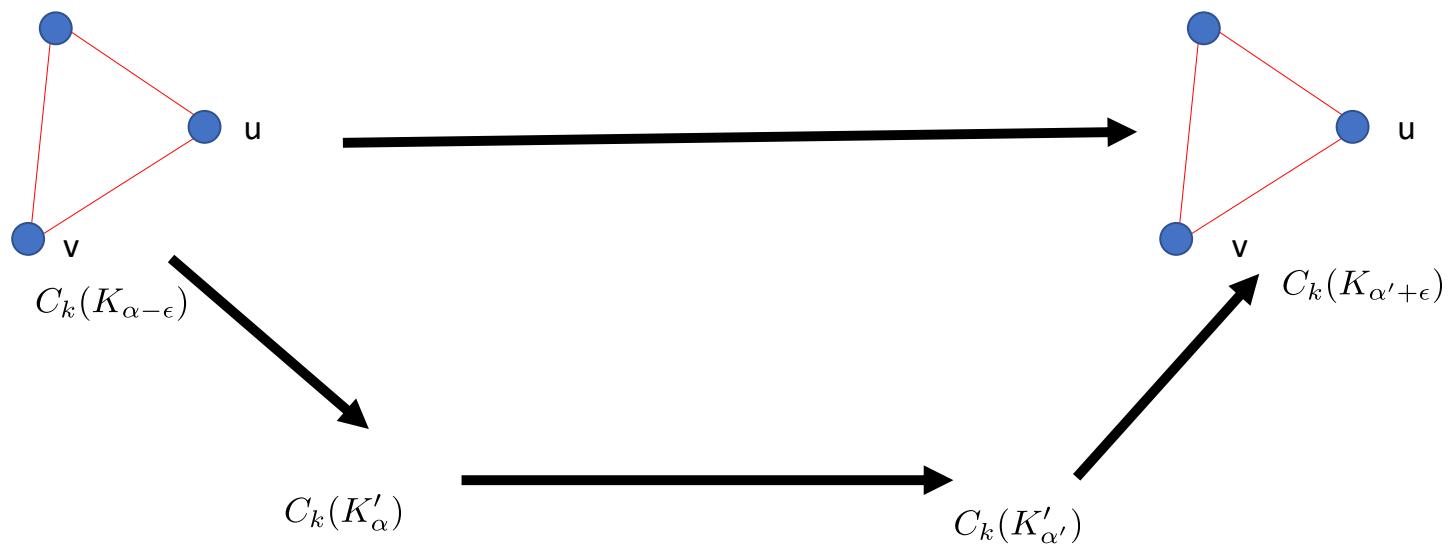
Homology maps:  $\xi_a^* : H_k(K_\alpha) \rightarrow H_k(K'_\alpha)$

Hence,  $\xi_{\alpha,\alpha+\epsilon}^* := f'_{\alpha,\alpha'} \circ \xi_\alpha^*$

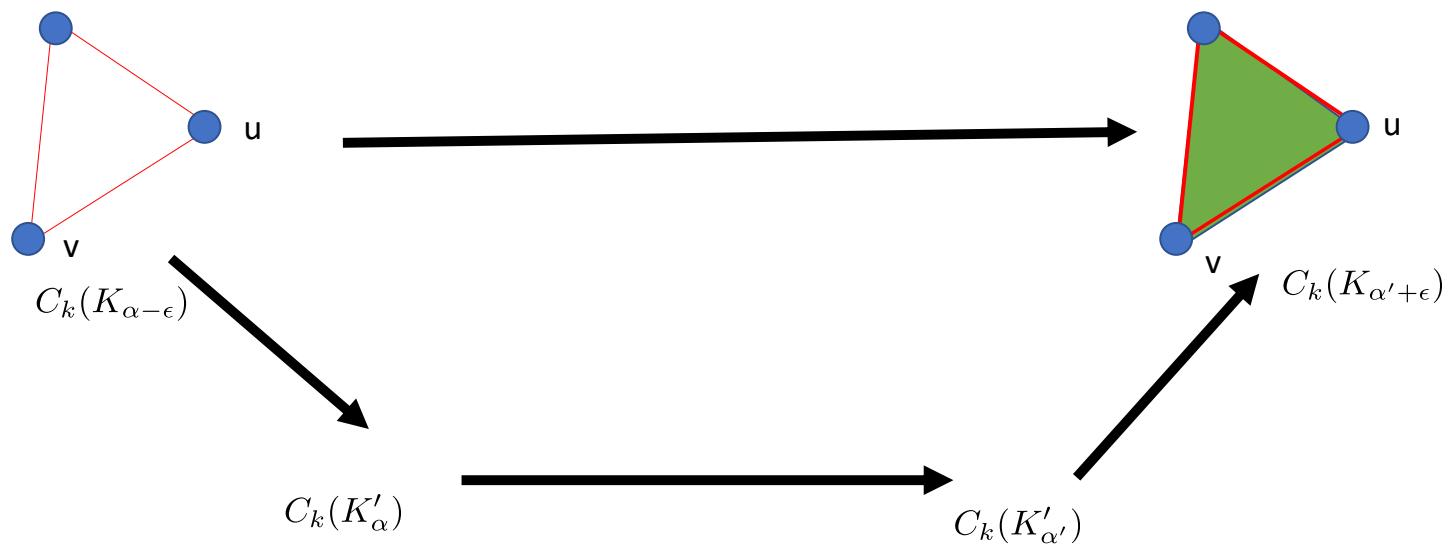
# Intuition



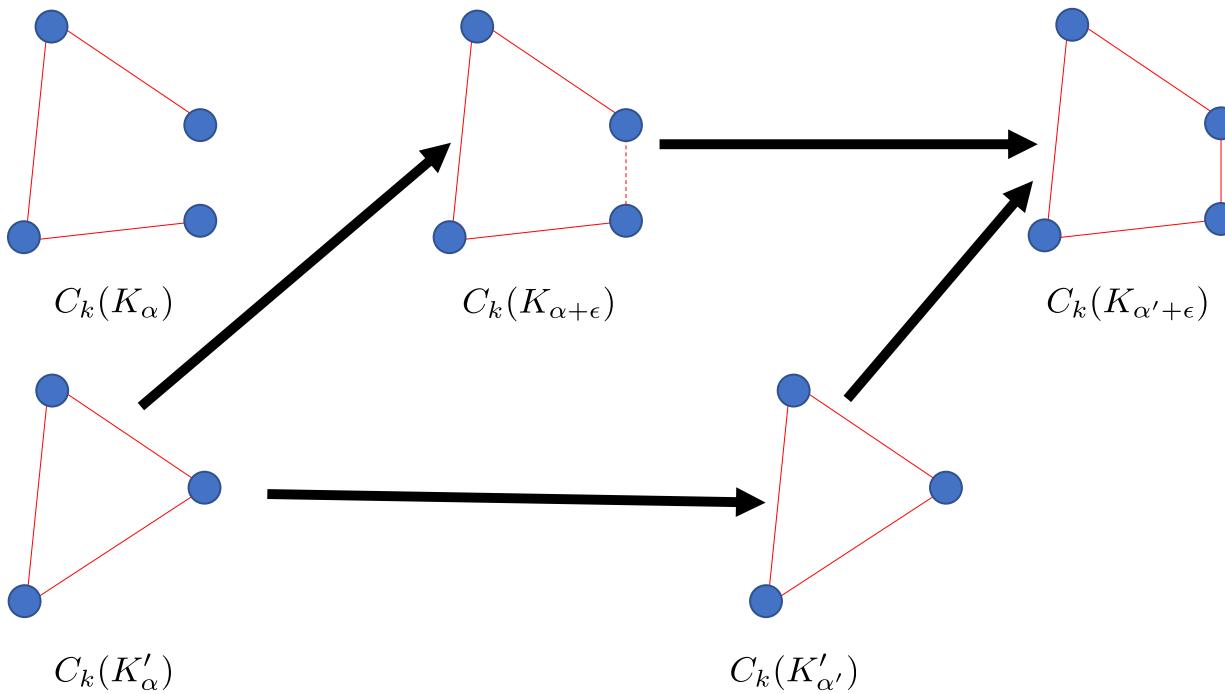
# Intuition



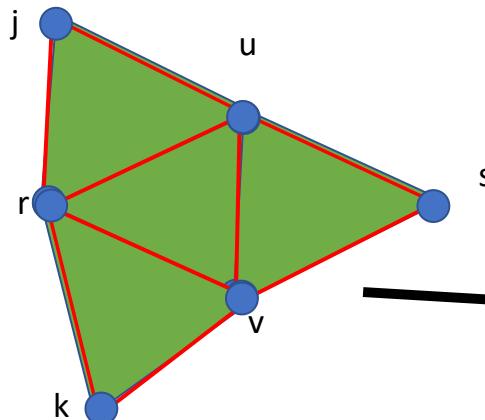
# Intuition



# Intuition



# Conditions



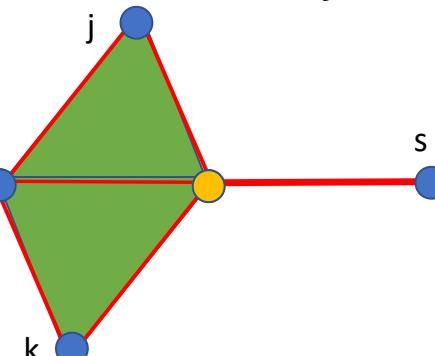
Contracting {u,v}

Triangles {r,u,v}, {s,u,v}, and edge {u,v} are *vanishing*

Pairs ({r,u}, {r,v}), ({s,u},{s,v}), and ({u,v}) are *mirrored*

Vertices r,s are *bystanders*

Triangles {j,r,u} and {k,r,v} are *adjacent*



Edge e is  $(p, \epsilon)$ -admissible if:

- For each pair of  $p$ -mirrors  $s_1, s_2$ , where  $h(s_1) < h(s_2)$  with shared vanishing cofacet  $t, |h(s_2) - h(t)| < \epsilon$ .
- If  $p>0$ , for each pair of  $(p-1)$ -mirrors  $s_1, s_2$ , where  $h(s_1) < h(s_2)$  with shared vanishing cofacet  $t, |h(s_2) - h(t)| < \epsilon$ .

# Upward Maps

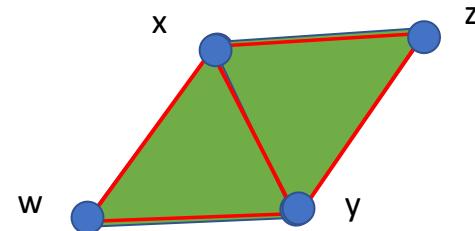
Require  $\{u,v\}$  to be  $(p,\epsilon)$ -admissible. Define  $\psi_{\alpha,\alpha+\epsilon} : K'_\alpha \rightarrow C_k(K_{\alpha+\epsilon})$  which extends linearly to  $\psi_{\alpha,\alpha+\epsilon,\#} : C_k(K'_\alpha) \rightarrow C_k(K_{\alpha+\epsilon})$

Gives homology maps  $\psi_{\alpha,\alpha+\epsilon}^* : H_k(K'_\alpha) \rightarrow H_k(K_{\alpha+\epsilon})$

But how to define  $\psi_{\alpha,\alpha+\epsilon} : K'_\alpha \rightarrow C_k(K_{\alpha+\epsilon})$  ?

# Upward Maps

Triangles  $\{w,x,y\}$  and  $\{x,y,z\}$  are *incident*

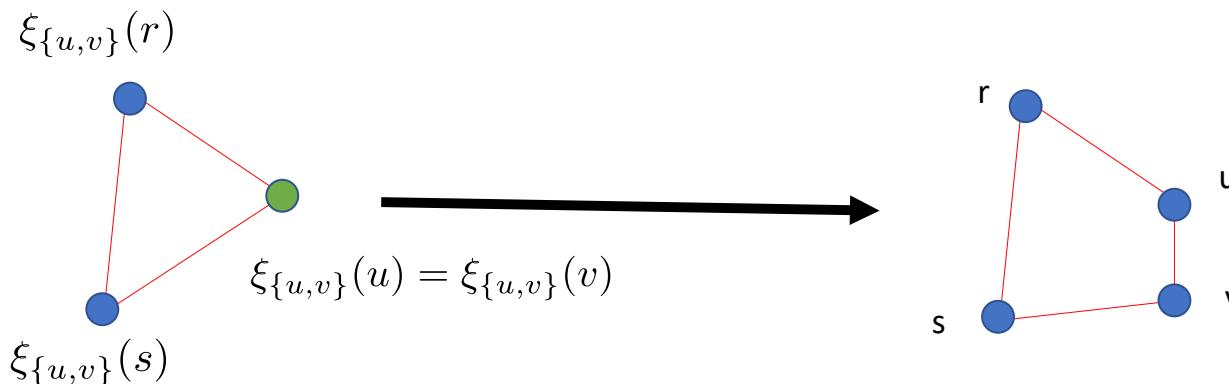


If  $\sigma$  is nonlocal or a bystander, then  $\psi_{\alpha,\alpha+\epsilon}(\sigma) = \sigma$ .

If  $\sigma$  is the image of mirrors,  $\sigma = \xi_{\{u,v\}}(\sigma_u) = \xi_{\{u,v\}}(\sigma_v)$ ,  $\sigma_u \prec \sigma_v$ , then  $\psi_{\alpha,\alpha+\epsilon}(\sigma) = \sigma_u + \sum_I \sigma_i$  where  $\sigma_i$  are vanishing and incident to  $\sigma_u$  by a younger mirror.

If  $\sigma$  is adjacent, then  $\psi_{\alpha,\alpha+\epsilon}(\sigma) = \xi_{\{u,v\}}^{-1}(\sigma) + \sum_I \sigma_i$  where  $\sigma_i$  are vanishing and incident to  $\xi_{\{u,v\}}^{-1}(\sigma)$  by a younger mirror.

# Upward Maps



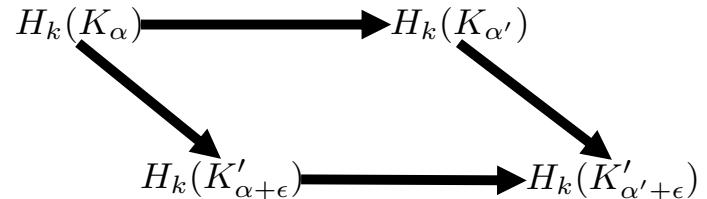
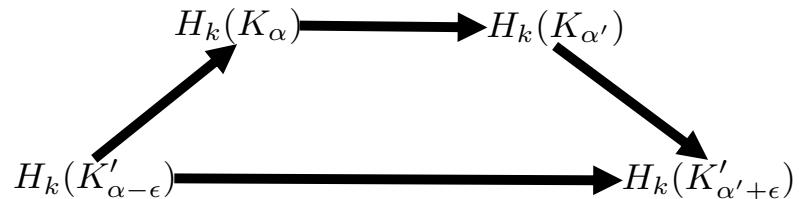
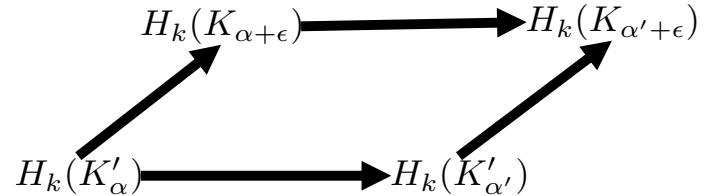
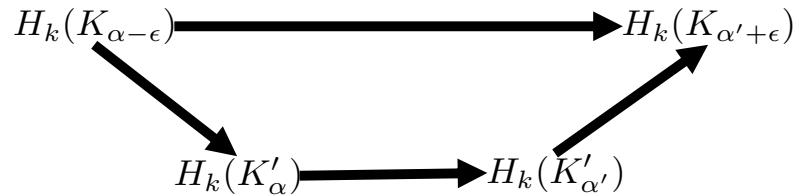
If  $u$  is younger than  $v$ , then  $\psi_{\alpha,\alpha+\epsilon}(\{\xi_{\{u,v\}}(r), \xi_{\{u,v\}}(u)\}) = \{r, u\} + \{u, v\}$

Else,  $\psi_{\alpha,\alpha+\epsilon}(\{\xi_{\{u,v\}}(s), \xi_{\{u,v\}}(u)\}) = \{s, v\} + \{u, v\}$

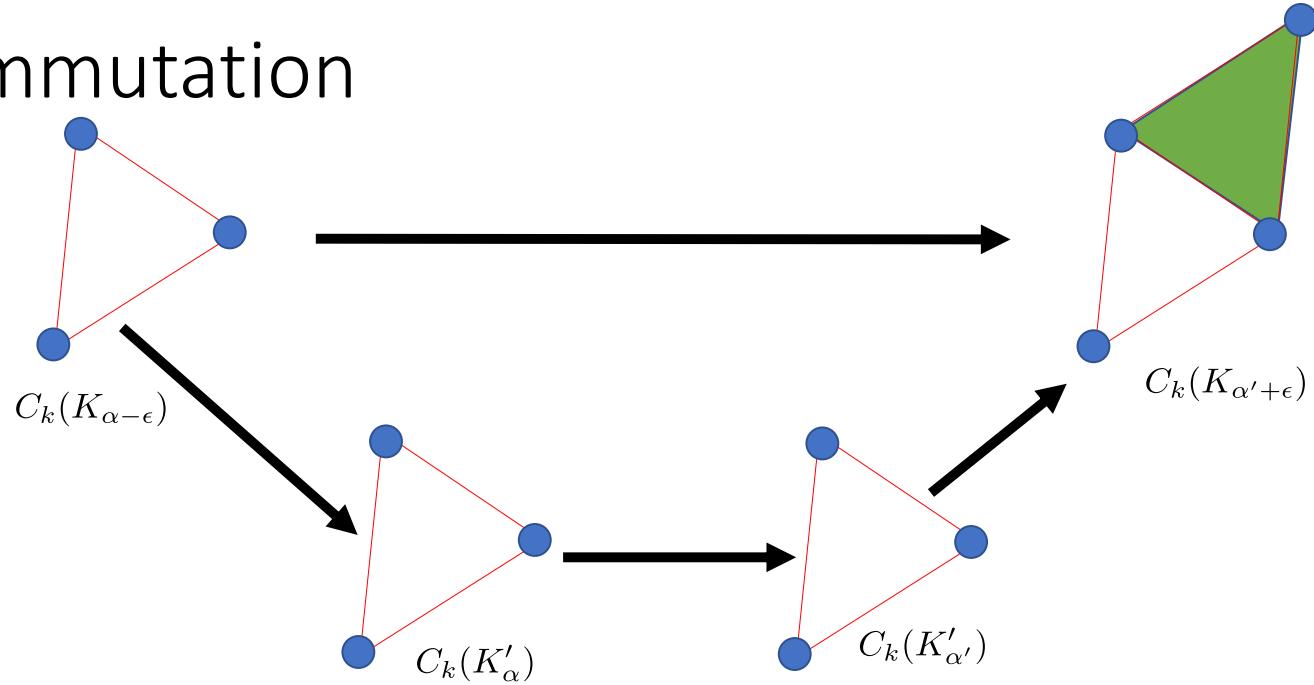
**Theorem**  $\psi_{\alpha,\alpha+\epsilon}$  brings cycles to cycles and boundaries to boundaries.

# Commutation

Do the maps  $\xi_{\alpha, \alpha+\epsilon}^*$ ,  $\psi_{\alpha, \alpha+\epsilon}^*$ ,  $f'_{\alpha, \alpha+\epsilon}$ ,  $f_{\alpha, \alpha+\epsilon}$  commute?



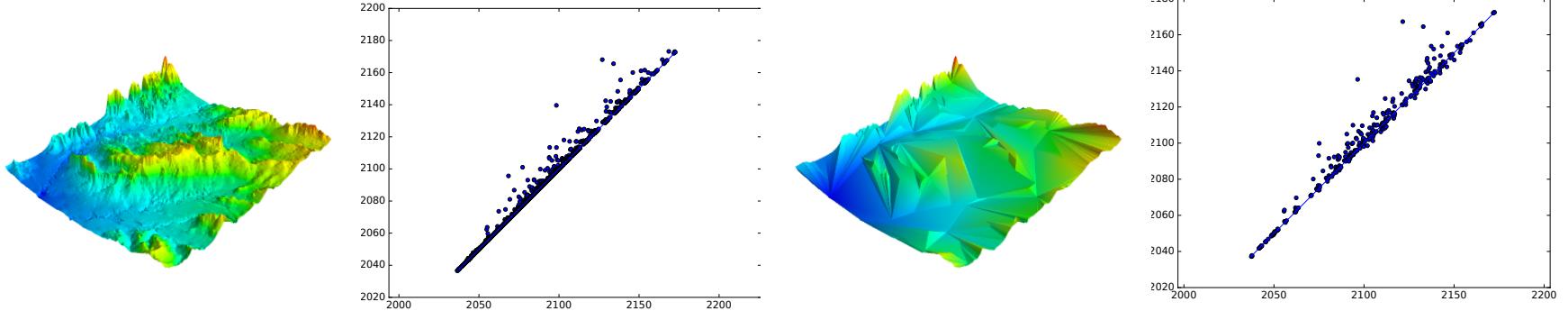
## Commutation



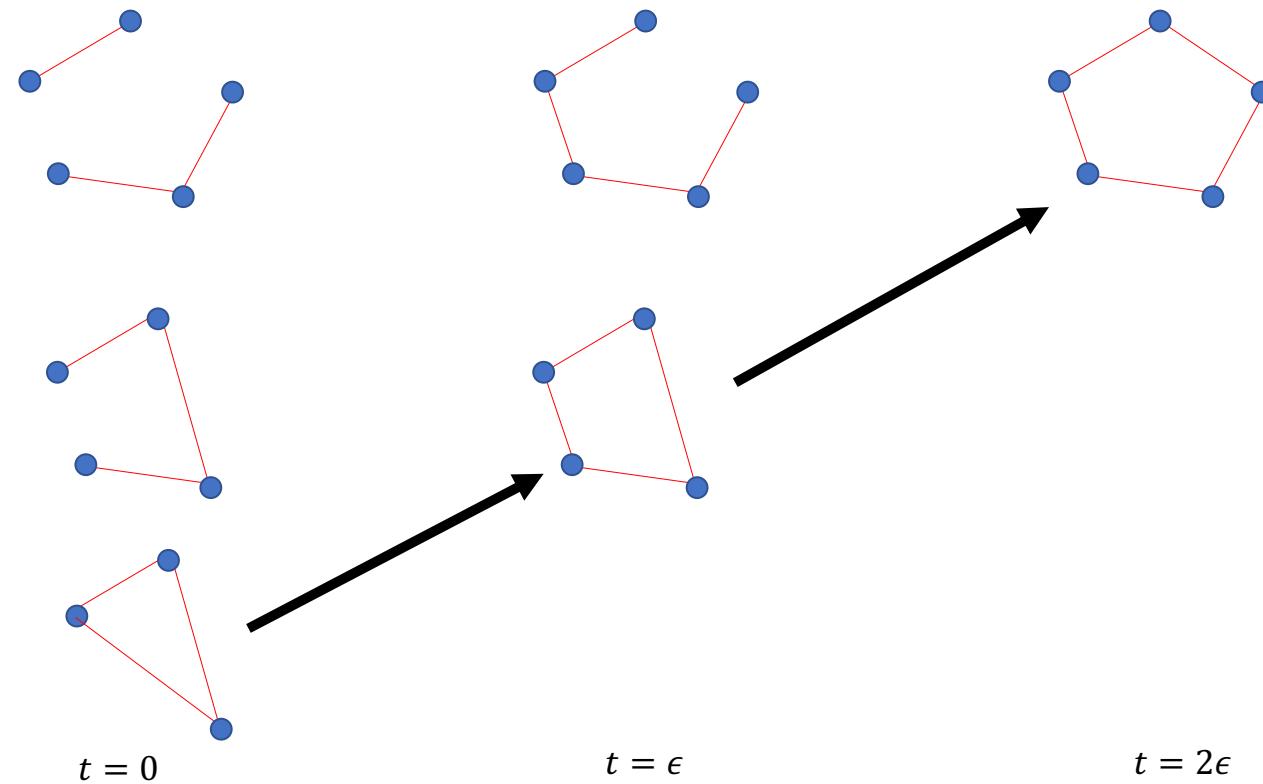
**Theorem** Let  $\{u,v\}$  be  $(p, \epsilon)$ -admissible. For filtered simplicial complex  $K$ , let  $U$  be the  $p$ -dimensional persistence diagram corresponding to  $K_{i_1} \subseteq K_{i_2} \subseteq \dots \subseteq K_{i_n}$  and  $V$  the  $p$ -dimensional persistence diagram corresponding to  $K'_{i_1} \subseteq K'_{i_2} \subseteq \dots \subseteq K'_{i_n}$ . Then  $d_b(U, V) \leq \epsilon$ .

# Multiple Contractions

Can we contract multiple  $(p, \epsilon)$ -edges such that  $d_b(U, V) \leq \epsilon$ ?



# Multiple Contractions



# Multiple Contractions

For each  $(p, \epsilon)$ -edge  $\{u, v\}$ , define  $W(\{u, v\}) = [a, b]$

- $a$  the oldest  $(p-1)$  – simplex
- $b$  the oldest  $(p+1)$  – simplex

**Theorem** For a collection of  $(p, \epsilon)$ -edges  $e_1, e_2, \dots, e_m$ , if  $W(e_1), W(e_2), \dots, W(e_m)$  are disjoint, then the bottleneck distance between the initial and final  $p$ -dimensional persistence diagram is  $\leq \epsilon$ .

# Experiments

Contracting (1,5,0) edges.  
Image corresponds to Los Alamos.

Dataset	# Cont.	% Red.	# Sets	Bottleneck	Bound
Columbus	452,914	99.6	6,123	2.097	30,615
Los Alamos	452,710	99.6	7,350	6.540	36,750
Minneapolis	1,819,786	99.8	66,981	4.832	334,905
Aspen	1,818,593	99.7	5,664	8.570	28,320
Filigree	466,395	90.7	90,009	9.051	450,045
Eros	476,573	99.99	158,426	4.296	792,130
Statue	2,470	98.8	945	0.074	4,725

